



American Society for
Clinical Pathology

ASCP GYN Proficiency Test™
2011 Proctor Instructions

ASCP GYN Proficiency Test Administration Booklet

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Section A

ASCP GYN PROFICIENCY TEST ADMINISTRATION: PROCTOR INSTRUCTIONS

I. PROCTOR RESPONSIBILITIES PRIOR TO TEST ADMINISTRATION

- A. Review all of the Proctor Training Manual 30 days prior to the testing date.
- B. Successfully complete Proctor quiz at least one week prior to the test date (see information in this document).
- C. Print Proctor Training Certificate for documentation.
- D. Define shared duties among Proctors. Proctors should discuss how Proctor responsibilities will be shared during the administration of the test, prior to the scheduled on-site test date.
- E. Determine test schedule. In cooperation with the Laboratory Director, the Proctor will determine the timing of the proficiency test for all participants.
 1. The test should be administered within the laboratory's normal working hours as much as possible to mimic the normal working environment.
 2. Ask the Laboratory Director to inform you if there are specific times that certain individuals need to take the test. For example, pathologists may cover other facilities in the morning and need to take the test in the afternoon, or a part-time cytotechnologist may only work in the afternoon or weekend.
 3. It is recommended that you establish specific scheduled test times for each individual participant and inform them of the schedule in advance of the test, so they may plan their daily work activities accordingly.
- F. Review the procedures you will use to assign and handle Test Slide Boxes for each participant, ensuring you are comfortable with procedures and have contacted ASCP with any questions prior to the date of test administration.
- G. Gather or make arrangements for test-related supplies: dotting pens; slide cleaning supplies; microscope for reviewing slides for residual dots, etc.
- H. Review attachments to this document to become familiar with their content and use.
- I. Review the *Proctor Test Administration Checklist* which will be used at the time of testing to track all aspects of the testing process, verify that procedures were followed, and document any broken slides.

II. PROCTOR RESPONSIBILITIES DURING TEST ADMINISTRATION

A. Receipt of Test Materials

1. Testing materials should arrive by either Fed-Ex or UPS Courier delivery two business days before the scheduled test date. (Testing materials for proficiency tests scheduled on a Monday will arrive at the laboratory on the previous Thursday.) This allows additional time to handle shipping delays and client needs, and may allow for additional testing time, as long as a Proctor is available to administer the test.
DO NOT DESTROY THE SHIPPING BOX – IT WILL BE USED FOR THE RETURN SHIPMENT.
2. Testing materials will be sent to the shipping address listed on the laboratory enrollment form to the attention of Proctor #1. ASCP cannot not ship to P.O. Boxes.
3. If proficiency testing materials do not arrive one or two days before the scheduled test date call ASCP at 800.267.2727 immediately for shipment tracking.

B. Verification of Test Documents

Verify that the following documents are contained in the test materials box:

1. *Chain of Custody Record*
2. *Proctor Test Administration Checklist*
3. *Proctor Test Administration Instructions*



4. *Proficiency Test Instructions for Participants*
5. *Proficiency Testing Result Forms*
6. *PT Scoring Charts*
7. *Proficiency Test Process Evaluation Form*
8. *Add New Participants Form*
9. *Participant Excused Absence Form*
10. *Procedure for Cleaning Slides & Handling Broken Test Slides*
11. Fed-Ex or UPS Pre-addressed Return Shipment Label
12. *ASCP Security Envelope* (to be used for the return of documents)

C. Verification and Inspection of Test Slide Boxes

1. Verify that all Test Slide Boxes have been received by reviewing the Chain of Custody Record (See attachment A) to check that the total number and GYN PREP Type of Test Slide Boxes received match the total number and the types of boxes listed.
 - a. The GYN PREP Type of Test Slide Box is determined by looking at the bar code label on the outside of the cardboard box. The bar codes refer to the type of slides each participant is eligible to receive for their proficiency test, based on enrollment information about the type of slides they examine in the laboratory.
 - b. The Test Slide Boxes are labeled as follows:
 - i. "C" for conventional Pap smear test slides,
 - ii. "T" for ThinPrep slides,
 - iii. "SP" for SurePath slides, and
2. **Instruct laboratory personnel not to open the Test Slide Boxes. The security seal must be intact when the official Proctor(s) opens the boxes to inspect for damage.**
This is verification that participants did not examine test slides prior to the start of the proficiency test. If the security seal has been broken, the Test Slide Boxes cannot be used for the test. Notify ASCP immediately so that replacement Test Slide Boxes can be shipped overnight.
3. The Proctor(s) should break the Security Seal and open each Test Slide Box to examine the 10 glass test slides inside the Styrofoam slide holders for evidence of damage or breakage. If any of the slides are damaged or broken, refer to the Handling Broken Test Slides procedure. Notify ASCP immediately so that replacement Test Slide Boxes can be shipped overnight.
4. Based upon enrollment information provided by your facility, ASCP will pre-determine the sufficient number of Test Slide Boxes necessary to test all proficiency test participants in the allotted time. This determination will be based on the following formula, with a minimum of one (1) Box for every four (4) participants:

Number of Cytotechnologists & MD/Pathologists performing primary screening	Number of ASCP GYN PT™ Test Slide Boxes
1 to 20	1 Box for every 2 primary screening individuals
21 and above	1 Box for every 3 primary screening individuals

EXAMPLE #1 Matching Test Slide Boxes received with Chain of Custody Record

There are 6 Test Slide Boxes, including 1 with the “C” bar code prefix and 5 with the “T” bar code prefix listed on the **sample Chain of Custody Record**. In unpacking a real shipment box you would verify that there are 6 cardboard boxes sealed with a security seal, including 1 boxes labeled with a “C” bar code prefix, and 5 boxes labeled with a “T” bar code prefix.

5. For the testing event, and especially if participants have been added, verify that the number and GYN PREP Type of Test Slide Boxes received are adequate for the cytotechnologists (Cytotech) and Primary screening pathologists (MD) listed on the ASCP PT Participant Test Log to test on the dates available.

Remember—the Test Slide Boxes do not need to be shipped back to ASCP until *the day after* the scheduled testing date. Therefore, additional testing can be scheduled for the next morning prior to returning the materials to ASCP if necessary.

EXAMPLE #2 Determining whether the number and type of Test Slide Boxes received for the test is adequate to test all participants.

There are 6 Test Slide Boxes, including 1 with the “C” bar code prefix and 5 with the “T” bar code prefix listed on the **sample Chain of Custody Record**. In unpacking a real shipment box you would verify that there are 6 cardboard boxes sealed with a security seal, including 1 boxes labeled with a “C” bar code prefix, and 5 boxes labeled with a “T” bar code prefix.

There are 12 Cytotechs and 1 primary screening MD* participant listed in the sample **ASCP PT Participant Test Log**. Three of the Cytotechs require “C” boxes and 9 require “T” boxes. The MD* requires a “T” box. There are only 6 Test Slide Boxes, including 1 with the “C” bar code prefix and 5 with the “T” bar code prefix sent for this sample test.

This is an adequate number of Test Slide Boxes since the test slides can be cleaned and reassigned to the other participants. One of the “C” boxes can be cleaned of dots and assigned to another Cytotech requiring the “C” GYN PREP Type bar code pre fix; one of the “T” boxes can be cleaned of dots and assigned to another Cytotech requiring the “T” GYN PREP Type bar code prefix; and one of the “T” boxes can be cleaned of dots and assigned to the MD* requiring the “T” GYN PREP Type bar code prefix. See EXAMPLE #5 & 6 for methods used to reassign Test Slide Boxes.

D. Storage of Test Materials

1. After the Proctor has inspected the test materials to verify their condition and ensure that all are present, the testing materials must be placed in a locked and secure location to be retrieved by the Proctor just prior to the start of the proficiency test.
2. Materials must be secured before and between each testing event.

E. Documentation of Participants

1. **Review the ASCP Participant Test Log** included with the test materials and check it against those available to participate in the test at the pre-scheduled date and time.
2. **Verify the identity** of each test participant by checking a facility ID badge or government issued photo-ID if the individual is unknown to you.
3. **Add New Participants:** If there are PT participants present on the scheduled test date who have not been enrolled by the laboratory or registered by ASCP prior to the scheduled on-site test, the Proctor must ensure the following:
 - a. The laboratory director completes the **Add New Participants Form** with all of the required information and returns the **Form** with payment.
 - b. Fax this form to ASCP as soon as possible at 317.569.0221.
 - c. Call ASCP if you have any questions about whether there are enough Test Slide Boxes containing the appropriate GYN PREP Type bar code to test the added-on PT participant or if you need any assistance with the enrollment process.
 - d. Extra blank **Proficiency Testing Result Forms** will be included in the testing packet for these “last minute” PT participants. Make sure the pertinent participant information is written onto the **Proficiency Testing Result Form** to ensure that the PT participant score is accurately recorded.
 - e. Write the new participant’s full name on the **ASCP PT Participant Test Log**. If you receive a Participant Test Record # (PTR#) from ASCP, or the participant already has PTR #, record this number on the test log. Otherwise record the ASCP registry number for cytotechnologists or State medical license number for pathologists. Record the bar code number of the assigned Test Slide Box in the appropriate column.
4. Documenting Absences: The Proctor shall ensure that the Laboratory Director completes:
 - a. **The Participant Excused Absence Form** for those participants who miss the exam.
 - b. Documents any unexcused absence from the test event.

F. Review Information with Proficiency Test Participants

1. Review the following instructions and documents with all PT participants. This can be done in a group setting or individually as tests boxes are assigned.
 - a. **ASCP Proficiency Test Instructions**
 - b. **PT Scoring Chart and Guide** to ensure all participants understand how their PT test will be graded. Participants need a score of 90% to pass the test.
 - c. **Proficiency Testing Result Form**. Instruct participants to sign the statement on the **PT Result Form** prior to the start of the exam indicating they understand all test instructions.
2. Inform participants that a maximum of two (2) hours is provided to complete the ten (10) slide exam. Proctors can document legitimate time spent away from the test for special circumstances.
3. Emphasize that the test slides are to be diagnosed independently, and there should be no discussion of the test slides with other participants during the exam, or after the exam with participants who have not yet taken the test.
4. Inform participants that they may not refer to textbooks, atlases, or other reference materials during the test.
5. Ensure that test participants have a black pen to mark the bubbles on the **PT Result Form**.
6. Remind test participants to use dotting pens for marking the test slides containing ink that can be removed by alcohol. Instruct them not to use paint or some other medium for marking the slides that cannot be easily removed.
7. Instruct participants to sign the attestation statement at the bottom of the **PT Result Form** at the conclusion of the test, attesting they diagnosed the cases independently.



G. Distributing Test Documents and Supplies

1. Provide each participant with the following documents:
 - a. **Proficiency Testing Result Form**—Ensure that each participant signs the statement verifying they have read and understood all directions regarding the test.
 - b. **Proficiency Test Instructions**
 - c. **Proficiency Test Scoring Chart and Guide**
 - d. **Important Test Details**
 - e. **ASCP PT Process Evaluation Form**
2. Provide the following physical supplies as needed:
 - a. Dotting pens—participants are required to use dotting pens to mark the PT test slides with ink that can be removed by alcohol, since dots will need to be removed prior to assigning the Test Slide Box to the next primary screener or to returning to ASCP.
 - b. Supplies to clean slides, e.g. alcohol, paper towels, gauze, to wipe the slides clean between primary screenings by Proctors or to remove unwanted dots by cytotechnologists.
 - c. Black ink pens to mark answers on the **Proficiency Test Result Form**.

H. Distributing Test Slides Boxes

1. Proctors will assign the Test Slide Boxes to the **Primary screeners first**, since they will be prescreening and dotting the test slides for the secondary screeners / pathologist participants. Some pathologists may be primary screeners and can receive their test sets with the initial distribution as noted below.
2. Using the **ASCP PT Participant Test Log**:
 - a. Refer to the “GYN PREP Type Prefix” column to determine the type of test box to distribute to each participant.
 - b. The Proctor will record the bar code prefix and number of the Test Slide Box assigned to each participant under the “Test Box Assigned” column.
3. Pathologists who perform primary screening of gynecologic cytology slides without prescreening and dotting by a cytotechnologist are denoted as MD* for the purposes of this test. They are listed as “Primary Evaluator” on the **Proficiency Testing Result Form**.
 - a. Assign the Test Slide Boxes to the MD* pathologists following the same guidelines described above (assigning Test Slide Boxes that have not been prescreened or dotted by the cytotechnologist).
 - b. If a pathologist screens previously unevaluated slides at any time in their daily practice, they must be assigned a previously unevaluated Test Slide Box.
4. If time allows, each Test Slide Box may be assigned to up to four different Cytotech or MD* participants requiring the same GYN PREP Type bar code prefix, if the test slides are cleaned of dots prior to assignment to each of the participants.
5. The same prescreened and dotted Test Slide Boxes can be assigned to multiple pathologists requiring the same GYN PREP Type bar code prefix as long as sufficient time to take each test is provided. No discussion or sharing of answers for any set is permitted.

EXAMPLE #3 Assigning & Recording Test Slide Boxes on Participant Test Log

In the **sample ASCP PT Participant Test Log** included with these instructions, Cytotech participant Number 1, PTR # CMS00100, may be assigned a Test Slide Box with the “T” bar code prefix. You would record the Test Slide Box number with a “T” bar code prefix that you gave to PTR# CMS00100 in the “Test Box Assigned” column on the same row, listed here as T442.

I. Receiving Completed Test Slide Boxes

When a cytotechnologist turns in their completed **Proficiency Testing Result Form** and Test Slide Boxes to the Proctor:

- a. Verify each participant has completed all of the required information on the form, such as the start and finish time for the test, the Test Slide Box number, inked in all the bubbles for the test results, and signed the attestation statement at the bottom of the form.
- b. Take each Test Slide Box returned and perform **one** of three functions:
 - i. Sort all prescreened and dotted PT Test Slide Boxes by box prefix (C versus T versus SP versus MP) for distribution to pathologist participants who require prescreened and dotted cases for their PT exam. Then assign Test Slide Boxes to those pathologists **randomly** from the appropriate group of prescreened and dotted Test Slide Boxes, matching the GYN PREP Types indicated on the pre-labeled **Proficiency Test Result Forms** for the pathologist(s). **Pathologists cannot request a Test Slide Box screened by a specific cytotechnologist. – OR –**
 - ii. Remove the dots from the test slides and assign the Test Slide Box to another cytotechnologist who requires the same GYN PREP Type bar code; – **OR –**
 - iii. If no other cytotechnologists or pathologists require a Test Slide Box with the same GYN PREP Type bar code, check the Styrofoam slide holders to ensure they contain all 10 slides. Clean the slides following the **Cleaning Proficiency Test Slides** protocol, and return the Test Slide Box to the shipping container for return to ASCP. Place the completed **Proficiency Testing Result Form** in the Security envelope.

J. Redistributing Completed Test Slide Boxes

1. For Pathologists designated as “secondary screeners”:
 - a. If a pathologist requires a Test Slide Box with the same GYN PREP Type bar code prefix as that completed by a cytotechnologist, then **randomly** assign a prescreened and dotted Test Slide Box and provide a copy of the cytotechnologist’s completed **Proficiency Testing Result Form** to that pathologist.
 - b. Verify the identity of the pathologist, distribute the preprinted **Proficiency Testing Result Form** with their name on it, and record the Test Slide Box number on the **ASCP PT Participant Log** as described above.
 - c. Follow this procedure until all pathologists have received Test Slide Boxes.
 - d. If multiple pathologists receive the same Test Slide Box screened by the same cytotechnologist, keep the dots made by the cytotechnologist on the slide, and provide a copy of the accompanying **Proficiency Testing Result Form** completed by the cytotechnologist to the pathologist taking the test. **DO NOT** pass the pathologists’ completed test result forms on to the other pathologists taking the proficiency test.
 - e. If a pathologist was originally scheduled to receive a prescreened and dotted Test Slide Box but decides during the exam that he/she wants a previously unevaluated Test Slide Box, remove the dots from a Test Slide Box with the appropriate GYN PREP type, and assign to the pathologist following the steps detailed in section H.

EXAMPLE #4 Assigning prescreened & dotted Test Slide Boxes to pathologists

In the sample **ASCP PT Participant Test Log** included in these instructions, Cytotech participant number 1, PTR # CMS00100 was assigned Test Slide Box CT442. When PTR# CMS00100 completed that test box it was assigned to pathologist (MD) participant number 14, PTR # CMS00113, who is eligible to review GYN PREP Type “CT” prefix Test Slide Boxes. Notice that “Prescreened CT442” has been recorded in the “Test Box Assigned” column for PTR# CMS00113. The test box number was filled in for illustration purposes only in this sample test. When you are performing the test assignments yourself, you will need to record the actual test box number you assigned.

2. For Cytotechnologists:

- a. If another cytotechnologist requires a Test Slide Box with the same GYN PREP Type bar code prefix as that completed by the initial cytotechnologist, then remove the dots from the test slides following the **Cleaning Test Slides** protocol.
- b. Verify the identity of the cytotechnologist, distribute the **Proficiency Testing Result Form** with their name preprinted on it, and record the Test Slide Box number assigned on the **ASCP PT Participant Log** as described in above.
- c. Follow this procedure for other cytotechnologists until all have been assigned Test Slide Boxes.
- d. **DO NOT** pass on the **Proficiency Testing Result Form** completed by the initial cytotechnologist when subsequent cytotechnologists receive the same Test Slide Box. Ensure all dots have been removed prior to distributing the test slides to the next participant. It may be necessary to check the slides microscopically to ensure that all dots have been removed.

EXAMPLE #5 Reassigning Test Slide Boxes to additional CT participants

There are 12 cytotechnologist participants (Cytotech) listed in the sample **ASCP PT Participant Test Log** included with these instructions. Looking at the column labeled “GYN PREP Type Prefix” you will see that three of the Cytotech participants require “C” boxes and 9 require “T” boxes. The laboratory received 6 Test Slide Boxes, 1 with “C” bar code prefix and 5 with “T” bar code prefix, in the shipment for this sample test described in Example #1. So, more than one of the “T” bar code prefix Test Slide Boxes and the “C” bar code prefix Test Slide Box will need to be reassigned to other Cytotechs.

Looking at the “Test Box Assigned” column on the sample **ASCP PT Participant Test Log**, Test Slide Box CT445 was initially assigned to Cytotech participant 3, PTR # CMS00102. After PTR# CMS00102 completed the box, the dots were removed and Test Slide Box CT445 was reassigned to Cytotech participant 11, PTR # CMS00110. Test Slide Box C982 was initially assigned to Cytotech participant 4, PTR # CMS00103. Test Slide Box C982 was then reassigned to Cytotech participant 9, PTR # CMS00108 after the dots were removed.

EXAMPLE #6 Reassigning Test Slide Box to MD*

In the “Cytotech/MD or MD*” column on the sample **ASCP PT Participant Test Log** included with these instructions, MD participant number 15, PTR# CMS00114 is a MD* requiring a Test Slide Box with a “T” bar code prefix. Any unscreened Test Slide Box with a “T” bar code prefix may be assigned to this participant. Notice the “Test Box Assigned” column does not list “prescreened” for the “T” prefix listed for this participant. In this sample test Cytotech participant 2, PTR # CMS00101 was initially assigned Test Slide Box T443. After the dots were removed Test Slide Box T443 was reassigned to PTR# CMS00114.

K. Monitoring the Testing Environment

Proctors must monitor the environment of the testing location throughout the test to ensure confidentiality of test results.

1. Do not allow test participants to discuss test slides at any time during the testing process.
2. Participants are not to alter the test slides in any way except for the addition of ink dots to indicate cells of interest for review by the pathologist.
3. Proctors must keep completed Proficiency Testing Result Forms in the Security envelope with them at all times, until they are packed in the return shipping container.

L. Finalizing the Testing Process

Check with each test participant to gather all supplies, documents and Test Slides Boxes related to the proficiency test, including:

1. **Test Slide Boxes**—confirm that each box contains all 10 glass slides
2. **Proficiency Testing Result Forms** with signatures
3. **ASCP PT Process Evaluation Forms**

III. PROCTOR RESPONSIBILITIES AFTER TEST ADMINISTRATION

A. Make copies of the following records related to your Proficiency Test.

These records must be kept for a minimum of two (2) years onsite at your facility:

1. **ASCP PT Participant Test Log**
2. **Add New Participant Forms**
3. **Participant Excused Absence Forms**
4. **Proficiency Testing Result Forms** for each participant
5. **Proctor Test Administration Instructions**
6. **Proctor Test Administration Checklist**—review to assure all steps have been completed.



B. Verify that documents contain all required signatures prior to return shipment to ASCP, including:

1. Laboratory Director signature on the **ASCP PT Participant Test Log**
2. Laboratory Director signature on the **Add New Participants Form**
3. Laboratory Director signature on the **Participant Excused Absence Form**
4. Participant signatures on the **Proficiency Testing Result Forms**
5. Proctor's signatures on the **Proctor Test Administration Checklist**

C. Gather all materials for return shipment to ASCP, including:

1. **Chain of Custody Record**
2. **Test Slide Boxes**
3. **Add New Participant Forms**
4. **Participant Excused Absence Forms**
5. **ASCP PT Participant Test Log**
6. **Proficiency Testing Result Forms** for each participant. Be sure to compare the number of **Proficiency Testing Result Forms** collected to the number of test participants listed on the **ASCP PT Participant Test Log** to determine whether you have collected them all. In the sample test log there are 16 PT participants taking the test at this location since MD participant 17, PT registry # CMS00116 was tested at another laboratory location
7. **ASCP PT Process Evaluation Forms**
8. **Proctor Test Administration Checklist**

D. Package materials in the original shipment box for return to ASCP:

1. Place the following forms into the **ASCP Security Envelope** and confirm before sealing:
 - a. **ASCP PT Participant Test Log**. Original signed copy.
 - b. **Proficiency Testing Result Forms**. Original signed copy.
 - c. **ASCP PT Process Evaluation Forms**
 - d. **Proctor Test Administration Checklist**. Original signed copy.
 - e. **Add New Participant Forms**. Original signed copy.
 - f. **Participant Excused Absence Form**. Original signed copy.
2. Re-pack all test materials in the original shipping box for return:
 - a. PT Test Slide Boxes
 - b. Chain of Custody Record (should be outside the security envelope)
 - c. ASCP Security Envelope containing the documents listed above in D.1.
 - d. Re-pack materials with any protective packaging included, seal the box well with packing tape, completely fill out the prepaid return Fed-Ex or UPS air bill that was enclosed with your test materials for return shipment, and affix it to the package.
 - e. Retain a copy of the airbill with the airbill number for tracking purposes.
 - f. Call FedEx @ 1-800-463-3339 or UPS @ 1-800-742-5877 for U.S. Domestic Shipments (dependent on your enclosed air bill). Call your local FedEx or UPS office if you are outside the U.S.
Testing materials must be returned NO LATER THAN the end of the day following the scheduled testing date.

IMPORTANT TEST DETAILS!

This information must be passed on to the testing individuals.

- Each individual is to use their own pre-labeled test form answer sheet.
- All ASCP GYN PT™ boxes, by law, must have at least one case from each Response Category (A, B, C, D).
- If you make an error on the answer sheet place an “X” through the incorrect response(s) and initial next to the “X”.
- Secondary screening Physicians are to receive pre-dotted slides **AND** a copy of the Cytotechnologist's answers for that box.
- All testing locations/offices are to be accessible to the Proctor(s) during the entire testing event.
- Double check your answer sheet before turning it in to Proctor.
 - Make sure you only have one answer per case
 - Make sure that you have provided an answer for each case
 - Make sure that you have at least one case from each Response Category
 - Make sure that you indicate the correct **ASCP GYN PT™** test box number on the test form
- Testing Results will arrive within 15 business days from the date the testing materials arrive back at ASCP.

This concludes the Proctor Test Administration Instructions.

IV. PROCTOR QUIZ INSTRUCTIONS

This section provides information on taking a quiz to verify training, and receiving your Certificate of Participation for documentation as a certified ASCP GYN PT™ Proctor.

- After thorough review of the Proctor Instructions for Administration of the **ASCP GYN PT™**, a ten question quiz is required to document that the Proctor responsibilities have been understood. This quiz is “open book”; you may refer back to materials as necessary.
- Take the Proctor Quiz that is available in two formats: 1) online at ascp.org **OR** 2) by paper copy (attached below) that you may fax back to ASCP for scoring.
- Successfully complete the training and quiz prior to the administration of the Proficiency test.

A. ONLINE QUIZ

1. ASCP prefers that Proctors take the online test which allows for instant scoring feedback and immediate printing of the **Proctor Certificate of Successful Participation**.
2. The primary Proctor will receive instructions regarding accessing the online Proctor quiz approximately 30 days prior to your test date from ASCP. Call 800-267-2727 with any questions or e-mail proctor@ascp.org for information. NOTE: usernames and Passwords are CaSe sEnSitiVe. When contacting ASCP, please include each Proctor Name, Facility Name, Facility Site Number (if known) and scheduled Proficiency Test date (if known).
3. The Online Proctor Quiz can be accessed via the internet at ASCP's online education portal at www.ascp.org.
4. Instructions for taking the online test are available online and should be reviewed prior to taking the test by clicking on the Syllabus tab in the course.
5. Upon successful completion (score of 90% or better) you will be able to print your **Proctor Certificate of Successful Participation** from the Proctor Quiz page.
6. If you receive less than a 90% score, you will be allowed to re-take the online test a second time.
7. If after a second attempt, a score of 90% was not achieved, the laboratory will need to select a different Proctor and repeat the processes outlined in this packet.
8. Provide a copy of the certificate to the Laboratory Director. This certificate, or a copy, is to be kept readily accessible for any inspecting agency or unannounced monitoring during the testing event. This certificate will serve to verify, to inspecting agencies, that you are qualified to administer the ASCP on-site proficiency test.

B. PAPER QUIZ

1. If you choose to take the Paper Quiz, you may do so and fax back the completed quiz to ASCP for scoring at 317-569-0221, Attention: ASCP Proctor Training.
2. Feedback on scoring will be provided by email or fax within one week of ASCP's receipt along with a **Proctor Certificate of Successful Participation**.
3. The hard copy version of the Proctor Test includes an attestation statement for the Proctor's signature verifying that all test questions were answered independently.
4. If the test was completed successfully with a passing score of at least 90%, ASCP will send a **Proctor Certificate of Successful Participation** by email or fax.
5. If a score of 90% is not achieved on the first test, a second test is required.
6. If after a second attempt, a score of 90% was not achieved, the laboratory will need to select a different Proctor and repeat the processes outlined in this packet.

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Attestation Statement: I certify that I answered all questions on the Proctor test independently.

Signature of Proctor: _____ Date: _____

Proctor Name:	Scheduled Test Date:	
Proctor Phone:	Proctor Email:	
Lab/Facility Name:		
Lab Director Name:	Lab Phone:	
Lab Address:		
Lab Address:		
City:	State:	Zip:

CIRCLE THE MOST APPROPRIATE ANSWER

QUESTION 1

1. The Proctor is responsible for all the activities listed below, except:

- Handling and recording all broken test slides according to provided policy.
- Verify that each individual does not exceed 2 hours for testing and that the individual has signed their Proficiency Testing Result Form.
- Verifying receipt of testing materials, and inspecting test slide boxes for broken slides.
- Shipping back all required forms and testing materials to ASCP after PT event has been concluded.
- Grading the test.

QUESTION 2

2. When assigning a Test Slide Box to multiple pathologist participants (MD or DO) that has been prescreened and dotted by a Cytotech it is appropriate to:

- Use the same Test Slide Box for multiple pathologists if there is sufficient time for taking the test and they all require the same GYN PREP Type prefix Test Slide Box.
- Use a different prescreened and dotted Test Slide Box for each MD or DO as long as the GYN PREP Type prefix on the Test Slide Box is matched to the requirements of each MD participant.
- Pass along a copy of the **Proficiency Testing Result Form**, which contains the answers of the Cytotech, to multiple MD or DO participants as long as the MD or DO participant's **Proficiency Testing Result Form** is not passed along to additional MD or DO participants taking the test.
- Leave the original dots on the test slides as they continue to be assigned to different MD participants.
- All of the above.

QUESTION 3

3. Which one of the following behaviors are acceptable for participants during the PT event?

- a. Participants can review slides together at a multi-head scope to perform group testing.
- b. Test participants can take longer than 2 hours to complete the PT if they need more time to screen the test slides.
- c. Test participants can photograph their test cases for future reference and discussion.
- d. Participants can select their own test slide boxes from those available for testing.
- e. Participants may dot the slides with a method that can be effectively cleaned with an alcohol solvent.

QUESTION 4

5. A Participant drops and crushes a test slide, which (s)he has already examined and recorded the answer for on the *Proficiency Testing Result Form*. What is the correct course of action for the Participant and Proctor to take?

- a. Take the entire Test Slide Box containing the crushed slide out of the testing process. Place the crushed slide pieces in a plastic bag labeled with the Test Slide Box label number and return to the shipping container. Reassign the Participant a different Test Slide Box with the same GYN PREP Type prefix to restart a new test. Record the new Test Slide Box number on the **Proficiency Testing Result Form** along with the new Test start time, and complete the “Broken Slides” section of the **Proctor Test Administration Checklist**.
- b. Replace the crushed slide with a test slide from another Test Slide Box that is not currently assigned to another participant. Place the crushed slide pieces in a plastic bag labeled with the slide number and return to the original Test Slide Box. Record the new slide number on the Proficiency Testing Result Form, and complete the “Broken Slides” section of the **Proctor Test Administration Checklist**.
- c. Allow the Participant to finish the remaining unbroken slides in the Test Slide Box. When the Participant has finished the test, take the Test Slide Box containing the crushed slide out of the testing process. Place the crushed slide pieces in a small bag or container labeled with the Test Slide Box label number, and complete the “Broken Slides” section at the bottom of the **Proctor Test Administration Checklist**.
- d. Since the Participant has already finished screening the test slide that was crushed, (s)he can continue on with the test as normal. Nothing else needs to be done by anyone.
- e. Repair the broken slide by either utilizing the double-mounting technique, or slide-repair tape. Complete the testing event and pass on to the next testing individual.

QUESTION 5

5. If the Security Seal on any of the Test Slide Boxes is broken by a person other than the Proctor:

- a. The test set can be used as normal, just document the incident.
- b. The test set can be resealed to prevent another person from reviewing the materials until the scheduled testing event.
- c. The test set may NOT be used for testing, and must be set aside and the incident documented by the Proctor, and a different, or replacement set from ASCP must be used in its place.
- d. The laboratory Director can make a judgment as to whether or not the set can be used for testing.
- e. The test event must be cancelled and all materials immediately returned to ASCP.

QUESTION 6

6. Which of the following documents need to be copied and retained by the laboratory for 2 years?

- a. Proficiency Testing Result Forms
- b. ASCP PT Participant Test Log
- c. Proctor Test Administration Checklist
- d. Proctor Proficiency Test Administration Instructions
- e. All of the above.

QUESTION 7

7. Secondary screening Pathologists may choose the cytotechnologist who pre-screens their test set?

- a. True b. False

QUESTION 8

8. In accordance with federal CLIA regulations, all test slide boxes must have how many slides from EACH “diagnostic response category” of A, B, C, or D?

- a. No requirements exist b. At least one c. Only one d. At least two

QUESTION 9

9. Select the correct procedure for distributing Test Slide Boxes to multiple cytotechnologist (Cytotech) participants after the initial Cytotech has completed the test:

- a. Assure the next Cytotech requires the same GYN PREP Type prefix Test Slide Box, leave all ink dots on the test slides, verify the identity of the next Cytotech, distribute the **Proficiency Testing Result Form** with the next participant's preprinted information along with a copy of the completed **Proficiency Testing Result Form** of the initial Cytotech, and record the Test Slide Box number assigned on the **ASCP PT Participant Test Log**.
- b. Assign any available Test Slide Box to the next Cytotech by removing all ink dots from the slides, verify the identity of the next Cytotech, distribute the preprinted **Proficiency Testing Result Form** with the next participant's preprinted information, and record the Test Slide Box number assigned on the **ASCP PT Participant Test Log**.
- c. Assure the next Cytotech requires the same GYN PREP Type prefix Test Slide Box, leave all ink dots on the test slides, verify the identity of the next Cytotech, distribute the preprinted **Proficiency Testing Result Form** with the next participant's preprinted information, and record the Test Slide Box number assigned on the **ASCP PT Participant Test Log**.
- d. Assure the next Cytotech requires the same GYN PREP Type prefix Test Slide Box, remove all ink dots from the slides, verify the identity of the next Cytotech, distribute the preprinted **Proficiency Testing Result Form** with the next participant's preprinted information on it, and record the Test Slide Box number assigned on the **ASCP PT Participant Test Log**.
- e. Assure the next Cytotech requires the same GYN PREP Type prefix Test Slide Box, remove all ink dots from the test slides, verify the identity of the next Cytotech, distribute the **Proficiency Testing Result Form** with the next participant's preprinted information along with a copy of the completed **Proficiency Testing Result Form** of the initial Cytotech, and record the Test Slide Box number assigned on the **ASCP PT Participant Test Log**.

QUESTION 10

10. Dr. Smith reviews gynecologic cytology slides that have been prescreened and dotted by a cytotechnologist at the hospital laboratory where the PT is being administered, but Dr. Smith occasionally screens previously unevaluated slides at a small rural hospital that does not employ cytotechnologists. What is the appropriate test to assign Dr. Smith?

- a. A Test Slide Box that has been prescreened and dotted by another pathologist.
- b. Any prescreened or unscreened Test Slide Box as long as it is the correct GYN PREP Type prefix required for this MD* or DO* pathologist participant.
- c. A Test Slide Box with the correct GYN PREP Type prefix that has not been prescreened and dotted by a cytotechnologist.
- d. A Test Slide Box that has been prescreened and dotted by a cytotechnologist, accompanied by a copy of the **Proficiency Testing Result Form** containing the cytotechnologist's answers.
- e. Either a Test Slide Box that has been prescreened and dotted by a cytotechnologist, accompanied by a copy of the **Proficiency Testing Result Form** containing the cytotechnologist's answers, or a Test Slide Box that has not been examined by a cytotechnologist.

SECTION B

ASCP GYN PT™ Cytology Proficiency Test Test Administration Packet For

EXAMPLE HOSPITAL 9000

The following documents are included as examples only -

An additional set of documents related to your testing event will be included
with your ASCP GYN PT Shipment.

Test Administration Packet Contents Checklist

Document	Document Title	Check
01	Chain of Custody Record	
02	Instructions for Lab Director	
03	Proctor Test Administration Checklist	
04	Participant Test Log	
05	Participant Test Instructions	
06	PT Scoring Charts and Guide	
07	Add New Participant Forms	
08	Participant Excused Absence Form	
09	Cleaning & Handling Slides Protocol	
10	Proficiency Testing Result Forms	
11	ASCP GYN PT™ Process Evaluation Forms	
12	Security Envelope	



CHAIN OF CUSTODY¹ RECORD
Cytology Proficiency Testing

From: **ASCP** To: _____
 8900 Keystone Crossing—Suite 620 Attn: _____
 Indianapolis IN 46240 CLIA#: _____
 1.800.267.2727 ASCP Site#: _____
 1.317.569.0221 (fax) Testing Date: _____

No.	Box ID	No.	Box ID	No.	Box ID
1		6		11	
2		7		12	
3		8		13	
4		9		14	
5		10		15	

1 Sent by ASCP (signature)
Date:
Comments:
2 Received by Proctor (signature):
Date:
Comments:
3 Sent by Proctor (signature)
Date:
Comments:
4 Received by ASCP (signature)
Date:
Comments:

“Chain of custody” is a set of procedures used to provide an accurate written record that can be used to trace the possession of proficiency test slides from ASCP to the recipient laboratory and back to ASCP. The process ensures the integrity of the proficiency testing process.

Chain of custody means the PT slides: 1) are in your actual possession, or 2) are in your view, after being in your physical possession, or 3) were in your possession and then you locked the slides in a secure location, or 4) are in a secure area.

Until you relinquish the slides to someone else and have documented the transfer, you are responsible to ensure that no one is able to tamper with the slides. Proctor must complete (2) Received by/Date and (3) Sent by/Date, include signature.

Instructions for Laboratory Director

SITE INFORMATION

CLIA #: _____ ASCP Site#: _____
 Lab Name: _____ Lab Director: _____
 Address: _____ Phone: _____
 _____ Fax: _____
 _____ PT Test Date: _____
 _____ Test Participants: _____
 Proctors: _____

Instructions for Laboratory Director

1. Make any corrections or additions to the information printed below. Cross out the name of any individual who is no longer employed at this laboratory.
2. All individuals who evaluate gynecologic cytology specimens must be listed. Include all fulltime, part-time and on-call employees.
3. A unique national proficiency testing registration number (PTR#) is assigned to each proficiency test participant by ASCP during the enrollment process. Each participant will keep their unique national PTR # for the duration of their enrollment in the ASCP program, or any other CMS-approved program.
4. Cytotechnologists "Cytotech" receive the proficiency test slides first since they screen and dot the slides for the pathologist participants, "MD" or "DO". Pathologists who screen Pap test slides instead of a cytotechnologist are designated as "MD*" or "DO*" for the purposes of this test. They will be designated as a "Primary Evaluator" on the Proficiency Testing Result Form preprinted label. They will be assigned Test Slide Boxes that have not been screened or dotted by the cytotechnologist.
5. If a participant is being tested at this facility indicate "Y" for yes in the "Test Here" box. If not tested here indicate "N" for no and write in the name of the alternate testing location.
6. The "ASCP GYN PREP Type Prefix" is determined by looking at the label on the outside of the cardboard Test Slide Box. The labels refer to the type of slides each participant is eligible to receive for their proficiency test, based on enrollment information about the type of slides they routinely examine in the laboratory. The boxes are labeled as follows: "C" for conventional Pap smear test slides, "T" for ThinPrep slides, and "SP" for SurePath slides.
7. In the "Test Box Assigned" column, the PT Proctor will write in the test box number (as identified by the label on the box) that corresponds to the Test Slide Box given to each participant.
8. The Laboratory Director will **review and sign** the completed **ASCP GYN PT™ Participant Test Log**, attesting to its accuracy. The laboratory is required to keep a copy of this participant test log for 2 years from the date of the test. Please fax **ONLY** the signed **ASCP GYN PT™ Participant Test Log** to ASCP @ 317-569-0221.

I hereby certify that the information provided on this participant testing log is true and complete, and includes the names of all individuals who diagnose, screen or review gynecologic cytology specimens at this laboratory, under this CLIA number.

Signature of Laboratory Director

Date



PROCTOR TEST ADMINISTRATION CHECKLIST

- Proctor #1 - Receive testing materials
- Proctor #1 - Unpack and verify that Security Seals on test boxes are unbroken and all testing materials are present, to include:
 - Glass Slide Test Boxes
 - Proficiency Testing Documents
- Store testing materials in a locked and secure location
- Define shared duties among Proctors
- Determine and assign time schedule for testing event (2 hour window per test)
- Document late enrollees, if applicable, by completion of the **Add Participant Enrollment Form**
- Provide dotting pens, black ink pens and other supplies as needed to test participants
- Review Participant Proficiency Test Instructions, **Proficiency Testing Result Forms and PT Scoring Charts & Guide** with participants, and provide **ASCP GYN PT™ Process Evaluation Forms**
- Assign Test Slide Boxes to primary screeners according to protocol
- Receive and re-distribute Test Slide Boxes and Proficiency Testing Result Forms to secondary screeners according to protocol
- Clean PT slides and handle and document broken slides according to protocol- use the form on this page to document broken slides
- Document excused absences, if applicable, by completion of the **Participant Excused Absence Form**
- Verify all required signatures on documents
- Copy all PT documents and retain in the laboratory for 2 years
- Package Test Slide Boxes and all original, completed and signed PT documents for return to ASCP in the original box the materials arrived in
- Have all Proctors sign off on this document and send the original back to ASCP
- Call FedEx @ 1-800-463-3339 or UPS @1-800-742-5877 for U.S. Domestic Shipments (dependent on your enclosed air bill). Call your local FedEx or UPS office if you are outside the U.S.

DOCUMENT BROKEN TEST SLIDES HERE

Test Slide Box #	Slide #	Broken in Shipment	Broken During Test	Repaired	Returned to ASCP

ASCP GYN PT™ Participant TEST LOG

CLIA Provider #: #####	PT Test Date: Jan 1, 2011
Laboratory Name: XYZ L	Laboratory Director: M. Smith MD
Address: 1234 W. 5 th ST.	
Address: Anywhere, USA	
Phone: 317.888.8888	Proctor: Alison Smith, CT (ASCP)

Number	Registration Number (PTR#)	Participant First and Last Name	Cytotech/ MD/DO or MD*/DO*	Test Here Y or N (If N list alternate test location)	GYN PREP Type Prefix	Test Box Assigned
1	CMS00100	Barbara Carey	CYTOTECH	Y	T	T442
2	CMS00101	Linda Miller	CYTOTECH	Y	T	T443
3	CMS00102	Susan Brown	CYTOTECH	Y	T	T445
4	CMS00103	Alex Duncan	CYTOTECH	Y	C	C982
5	CMS00104	Michael Fry	CYTOTECH	Y	T	
6	CMS00105	Ruth Rayon	CYTOTECH	Y	T	
7	CMS00106	Pia Green	CYTOTECH	Y	T	
8	CMS00107	David Constella	CYTOTECH	Y	T	
9	CMS00108	Bruce [unclear]	CYTOTECH	Y	C	C982
10	CMS00109	Ann Zachary	CYTOTECH	Y	T	
11	CMS00110	[unclear] Black	CYTOTECH	Y	T	T445
12	CMS00111	Donna [unclear]	CYTOTECH	Y	C	C982
13	CMS00112	Gene Zeta	MD	Y	C	
14	CMS00113	Rain Shawnee	MD	Y	T	T442
15	CMS00114	Young Lee	MD	Y	T	T443
16	CMS00115	Zeta Lebow	MD	Y	C	
17	CMS00116	Andrew Thompson	MD	N. ST. ELSEWHERE, USA		

I hereby certify that the information provided on this participant testing log is true and complete, and includes the names of all individuals who diagnose, screen or review gynecologic cytology specimens at this laboratory, under this CLIA number.

Signature of Laboratory Director

Date

PARTICIPANT PROFICIENCY TEST INSTRUCTIONS

1. Review the **ASCP GYN PT™ Program PT Scoring Chart and Guide** to ensure you understand how your PT test will be graded. A passing score is 90%.
2. Check all preprinted information on the **Proficiency Testing Result Form**, including your name, registration number (PTR#), laboratory test location, **ASCP GYN PT™ PREP TYPE** for the type of test you will receive, and whether you are a cytotechnologist (Cytotech), pathologist (MD) or a pathologist who examines gynecologic cytology slides without prescreening or dotting by a cytotechnologist (MD* = Primary evaluator).
3. Make any changes necessary by crossing out the incorrect information and writing in the correct information. Please inform the Laboratory PT Proctor if there is an error in the **ASCP GYN PT™ GYN PREP type** or Cytotech/MD or MD* information since this could change the type of Test Slide Box you will be assigned for the test.
 - a. The "**ASCP GYN PT™ PREP Type**" was determined from the Laboratory Enrollment Form indicating the types of GYN cases you examine. The **ASCP GYN PT™ PREP Type** barcode prefix includes: "C" for conventional Pap smear slides, "T" for ThinPrep slides, and "SP" for SurePath slides.
4. Record the Test Slide Box assigned to you in the space provided on the **Proficiency Testing Result Form**.
5. Record the "Test start time" in the space provided on the **Proficiency Testing Result Form** when you begin the test. The Laboratory PT Proctor will collect your test when the 2-hour limit is up, even if you have not marked all your answers. If you need to interrupt the proficiency test for an unscheduled STAT laboratory procedure, for example to read frozen section biopsies or perform Fine Needle Aspirations, or for any other reason, inform the Proctor of your absence and record the time you were absent from the test in the "Comments" section. You have a total of 2 hours to complete the test. The time you spent away from the microscope will not be counted in the 2-hour time limit. These instructions are based upon CMS directives and CLIA '88 regulations.
6. The test slide label contains a number from 1 to 10. The pertinent patient history such as age and LMP are also provided on the test slide label.
7. (Cytotech) **CYTOTECHNOLOGISTS**: Screen all 10 proficiency test slides and dot each slide by the procedures you routinely follow in your laboratory. Your test slides may be passed on to a pathologist for their proficiency test. Use a dotting pen containing ink that can be easily removed by alcohol, since your test slides may need to be cleaned of dots prior to assignment to another test participant.
8. (MD) **PATHOLOGISTS**: Review /screen all 10 test slides that have been prescreened and dotted by a cytotechnologist, observing the same process you routinely follow in your laboratory. If you decide that you would rather have a test set that has not been previously evaluated by a cytotechnologist, inform the Proctor so they can assign you a Test Slide Box with undotted slides. Proctors have been instructed to assign Test Slide Boxes with the appropriate GYN PREP Type prefix in a random fashion to pathologist participants. Pathologists cannot request a Test Slide Box that has been prescreened and dotted by a *specific* cytotechnologist. These instructions are based upon CMS directives and CLIA '88 regulations.
9. (MD*= Primary evaluators) **PATHOLOGISTS** performing primary screening of gynecologic cytology slides without prescreening or dotting by a cytotechnologist: Observe the same process for screening the test slides that you routinely follow in the laboratory. The Test Slide Box you receive will not be prescreened and dotted by a cytotechnologist. Your test slides will not be forwarded to another pathologist for review. These instructions are based upon CMS directives and CLIA '88 regulations.
10. Using a black ink pen, fill in the boxes on the **Proficiency Testing Result Form** that correspond to your answers for each slide, labeled 1 to 10. The response criteria for each answer category are included on the test result form. Please review these response criteria to make sure you understand how to mark each category prior to beginning the proficiency test.

11. Response categories mandated by CMS and CLIA '88 for the gyn proficiency test include:
 - a. A = Unsatisfactory for diagnosis due to: Scant cellularity, air drying, obscuring material (blood, inflammatory cells, or lubricant).
 - b. B = Negative—includes: Normal, negative or within normal limits; Infection other than Human Papillomavirus (HPV) (e.g., Trichomonas vaginalis, changes or morphology consistent with Candida spp., Actinomyces spp. or Herpes simplex virus); Reactive and reparative changes (e.g., inflammation, effects of chemotherapy or radiation).
 - c. C = Low Grade Squamous Intraepithelial Lesion—includes: Cellular changes associated with HPV; Mild dysplasia/CIN-1.
 - d. D = High Grade Lesion and Carcinoma—includes: High grade squamous intraepithelial lesions which include moderate dysplasia/CIN-2 and severe dysplasia/carcinoma in-situ/CIN-3; Squamous cell carcinoma; Adenocarcinoma and other malignant neoplasms.
12. Do not discuss the proficiency test cases with anyone during the test, or after the test with anyone who has not yet taken the test. You must determine the diagnosis on the test slides independently. Test participants may not refer to textbooks, atlases, or any other reference materials during the test. These instructions are based upon CMS directives and CLIA '88 regulations.
13. Each proficiency test slide is checked for breakage, coverslipping quality and overall condition prior to each laboratory PT shipment. If you feel that a slide did not make a good test slide because of poor staining or coverslipping and you would like this slide to be reviewed by the ASCP staff, please make a comment on the **ASCP GYN PT™ Process Evaluation Form**.
14. Take care with the proficiency test slides. In the event that a test slide is broken, immediately inform the Laboratory PT Proctor who has instructions on how to handle this event so that testing may continue. ASCP will charge the site \$200 for each broken PT slide.
15. After you have completed the proficiency test and recorded all your answers by filling in the corresponding boxes on the **Proficiency Testing Result Form**, record the "Test finish time" in the space provided on the test form.
16. In accordance with CMS and/or CLIA '88, review all information on the **Proficiency Testing Result Form** to ensure all requested information has been entered, including the test start and finish time and any comments regarding time away from the test for STAT procedures or other reasons, the Test Slide Box number, and signed statement that you understand all test instructions. REMEMBER: Every ASCP GYN PT™ test box, by law, must contain at least one case of every response category (A, B, C, D).
17. As required by CMS and/or CLIA '88, sign and date the **Proficiency Testing Result Form** on the line below the attestation statement indicating that all cases were diagnosed independently by you.
18. Return the Test Slide Box and completed **Proficiency Testing Result Form** to the Laboratory PT Proctor.
19. Complete the **ASCP GYN PT™ Process Evaluation Form** and return it to the Laboratory PT Proctor.
20. Please note that photographing, photocopying or reproducing slides or other copyrightable materials in any way is not permitted.
21. Clarify all questions regarding the on-site PT exam with the Proctor prior to the beginning of the test. If there are questions that the Proctor cannot answer, request that the Proctor contact ASCP prior to the beginning of the PT exam to receive clarification.
22. Results will be sent to the Laboratory by ASCP within 15 business days of receipt by ASCP of the testing materials.

ASCP GYN PROFICIENCY TESTING™ PROGRAM SCORING CHARTS
as Mandated by CMS & CLIA '88

PT SCORING CHART FOR CYTOTECHNOLOGISTS

Point Value per Case (10-slide Test)

PARTICIPANT RESPONSE

Correct Response	A UNSAT	B NEG	C LGSIL	D HGSIL/CA
A UNSAT	10	0	5	5
B NEG	5	10	5	5
C LGSIL	5	0	10	10
D HGSIL/CA	0	-5	10	10

PT SCORING CHART FOR PATHOLOGISTS

Point Value per Case (10-slide Test)

PARTICIPANT RESPONSE

Correct Response	A UNSAT	B NEG	C LGSIL	D HGSIL/CA
A UNSAT	10	0	0	0
B NEG	5	10	0	0
C LGSIL	5	0	10	5
D HGSIL/CA	0	-5	5	10

PT SCORING CHART GUIDE 10-slide Test as Mandated by CMS & CLIA '88

- There is a different scoring chart for cytotechnologists and pathologists.
- A passing score is 90%. A maximum of 10 points are awarded for a correct response and a maximum of minus five points (-5) for an incorrect response on a 10-slide test.
- The individual's score for the testing event is determined by adding the point value achieved for each slide preparation, dividing by the total points for the testing event and multiplying by 100.
- For example, if the correct answer for test slide #1 is "response category D HGSIL/CA" and a cytotechnologist participant selected "response category B NEG" as the correct answer, then the cytotechnologist's point value on that slide would be calculated as -5 points. Assuming that the cytotechnologist answered the other 9 test slides correctly, the cytotechnologist's PT test score would be 85%, a failing score. (9 slides x 10 points = 90 points + (-5) points = 85 points)
- In another example, the correct answer for test slide #2 is "response category C LGSIL" and a pathologist participant selected "response category D HGSIL/CA" as the correct answer. The point value for this slide is 5 points. Assume the other 9 slides were answered correctly. The pathologist participant's score is 95%, a passing score. (9 slides x 10 points = 90 points + 5 points = 95 points)
- For another example, the correct answer on test slide #3 is "response category B NEG" and a cytotechnologist participant selected "response category D HGSIL/CA" as the correct answer. The point value for this slide is 5 points. The correct answer for test slide #4 is "response category C LGSIL" and the same cytotechnologist participant selected "response category A UNSAT" as the correct answer. The point value for this slide is 5 points. The other 8 slides were answered correctly. The cytotechnologist participant's score is 90%, a passing score. (8 slides x 10 points = 80 points + 5 points + 5 points = 90 points)
- **REMINDER: YOU MAY NOT CONFER WITH ANY OTHER PARTICIPANT ABOUT PROFICIENCY TEST SLIDES. ALL SLIDES MUST BE DIAGNOSED INDEPENDENTLY.**

Response Categories Used for the ASCP GYN Proficiency Test™ (PT) and Abbreviations Used for the Scoring Chart as Mandated by CMS & CLIA '88

- A = UNSAT = Unsatisfactory for diagnosis due to: Scant cellularity, Air drying, Obscuring material (blood, inflammatory cells, or lubricant).
- B = NEG = Normal or Benign Changes - includes: Normal, negative or within normal limits; Infection other than Human Papillomavirus (HPV) (e.g., Trichomonas vaginalis, changes or morphology consistent with Candida spp., Actinomyces spp. or Herpes simplex virus); Reactive and reparative changes (e.g., inflammation, effects of chemotherapy or radiation)
- C = LGSIL = Low Grade Squamous Intraepithelial Lesion - includes: Cellular changes associated with HPV; Mild dysplasia/CIN-1.
- D = HGSIL/CA = High Grade Lesion and Carcinoma - includes: High grade squamous intraepithelial lesions which include moderate dysplasia/CIN-2 and severe dysplasia/carcinoma in-situ/CIN-3; Squamous cell carcinoma; Adenocarcinoma and other malignant neoplasms.

Add Participant Enrollment Instructions

IF YOU HAVE ADDED ANY PERSONNEL WHO EVALUATE GYNECOLOGIC CYTOLOGY SPECIMENS BUT WHO HAVE NOT ALREADY TAKEN A PROFICIENCY TEST FOR THE CURRENT YEAR, YOU MUST ENROLL THEM AT LEAST TWO WEEKS BEFORE THE SCHEDULED TESTING DATE.

Please provide payment information and complete an Add Participant Enrollment Form for each employee not listed on the Participant Enrollment Log provided.

Refer to the following instructions to aid in the completion of this form. Contact ASCP with questions related to the enrollment process for new employees at 800.267.2727.

ADD PARTICIPANT ENROLLMENT FORM

PARTICIPANT INFORMATION

- Print the participant's full legal name and, if applicable, please indicate all other names used by the participant on certification or licensure information
- Please indicate the following:

Physicians

- Indicate whether the physician is a D.O. or M.D.
- Indicate whether the physician performs primary or secondary screening according to the guidelines given
- Provide the Medical License number and State License number for the state where the test will be taking place

Cytotechnologists

- Indicate ASCP Board of Certification (BOC) number and State License number(s)

TESTING LOCATION

- Provide information regarding where the participant will be taking the proficiency test. If the participant listed will NOT be taking the proficiency test at the primary testing location listed below, provide the name and address of the laboratory location where they will be taking the test.

ADDITIONAL PLACES OF EMPLOYMENT

- If the participant is evaluating GYN slides at two (2) or more labs, provide the information requested so that ASCP can provide required proficiency testing documentation to all labs identified.

SLIDE TYPES

- Indicate all GYN Prep types routinely evaluated: C=Conventional, T=ThinPrep, SP=SurePath
- PT testing will be comprised of one or more of the GYN preparation types indicated as routinely evaluated.
- The GYN Prep type chosen for testing does not affect what Prep types may be evaluated at your facility or the validity of your Proficiency Test.

ASCP GYN Proficiency Test.

All information related to GYN Proficiency Testing will be handled by employees of ASCP with utmost confidentiality and discretion. Under no circumstances will an individual's results be shared or discussed with an unauthorized individual. All testing results will be sent directly to the CMS and the Laboratory Director.

PARTICIPANT Information

First Name M.I. Last Name
Other name(s) used (maiden name, change of name)
1 _____ 2 _____ 3 _____

PHYSICIAN *(must complete the information below)*

• M.D. - D.O. *(please check)*

• Please check ONE category that applies:

Primary Screener of GYN materials (even if one case/year)

Secondary Screener (always screens pre-dotted GYN materials)

• Medical Licensure Number _____

or State Licensure Number (where PT testing will occur) _____

CYTOTECHNOLOGIST *(must complete the information below)*

• ASCP/BOC# _____ or HEW # _____

or State Licensure Number(s) _____

(If no Licensure Number, please provide Social Security number or Driver's License number)

Will participant be testing AT THIS LOCATION? YES / NO If NO, at which location will he/she be testing?

Laboratory Director: _____

Laboratory/Hospital: _____

Laboratory/Hospital Address: _____

City/State/Zip: _____

IS ENROLLEE CURRENTLY EVALUATING GYN SLIDES MORE THAN ONE LAB? YES / NO

If YES, provide the following information for each lab. ASCP will forward testing results to each site indicated.

Laboratory Director: _____

Laboratory/Hospital: _____

Laboratory/Hospital Address: _____

City/State/Zip: _____

CIRCLE THE GYN PREP TYPE ROUTINELY EVALUATED BY THIS INDIVIDUAL:

(this should be the Prep Type Evaluated the Majority of the Time)

C=conventional

T=ThinPrep

SP=SurePath

PT TEST WILL BE COMPRISED OF 100% OF THE GYN PREPARATION TYPE INDICATED AS ROUTINELY EVALUATED

Add Participant Payment Information

PLEASE COMPLETE PAYMENT INFORMATION BELOW AND HAVE THE LABORATORY DIRECTOR SIGN THE ATTESTATION STATEMENT. SUBMIT THIS PAGE AND THE ADD PARTICIPANT FORM(S) TO ASCP AT LEAST TWO WEEKS PRIOR TO YOUR SCHEDULED TESTING DATE.

- Indicate the number of participants to be added and calculate the total fees due.
 \$ 75 x _____ (number of new participants) Total added participant fees: _____
- Payment or PO must accompany enrollment. Acceptable payment options include check, credit card (VISA/MASTERCARD/AMEX) or purchase order.
 - Check Enclosed (payable to ASCP)
 - Purchase Order # _____ (will be invoiced upon receipt, terms 30 days)
 - I want to pay by credit card. Please call me at _____ . Date/Time_____

Important!

*For your protection, ASCP no longer gathers credit card info via mail or fax. Please call to give ASCP your credit card information.

**To submit enrollment for new participants by MAIL: ASCP Proficiency Testing Enrollment
 8900 Keystone Crossing, Ste 620
 Indianapolis, IN 46240**

To submit enrollment for new participants by FAX: 317.569.0221

ATTESTATION STATEMENT	
<p>I hereby affirm that the information provided on the Add Participant form(s) included with this form is true and complete, and completes the enrollment of all individuals who diagnose, screen or review gynecologic cytology specimens at this laboratory.</p>	
<p>_____</p> <p>Signature of Laboratory Director</p>	<p>_____</p> <p>Date</p>

Complete a copy of this form for each participant you are adding to your existing enrollment for the

ASCP GYN PT™ PARTICIPANT EXCUSED ABSENCE FORM

CLIA #: _____ ASCP Site#: _____

Lab Name: _____ Lab Director: _____

Address: _____ Phone: _____

_____ Fax: _____

Test Participants: _____

Please complete the form below for those PT participants who were unable to take the ASCP on-site PT exam on the scheduled date, or while the test slides were still at the laboratory, and return in the Security Envelope with the other completed testing materials (unused forms do not need to be returned). Please note that make-up PT exams need to be scheduled as soon as possible. If you do not yet know which make-up options the participant will choose, send this form in the Security Envelope now, and follow-up with a faxed (317.569.0221) completed form as soon as possible. Call ASCP @ 800.267.2727 with any questions.

1. BOX A—write the participant's complete first and last name in this box.
2. BOX B—Record the participant's PTR# in this box.
3. BOX C—Write “Yes” for excused absence and “No” for unexcused absence from the on-site PT exam. Participants with unexcused absences receive an automatic failing score (0) and must take the retest at the ASCP Testing Center in Indianapolis, IN not more than 45 days after the receipt of the notification of failure.
4. BOX D—If the participant has an excused absence and plans to schedule a make-up test at ASCP, make a checkmark in this box. If the participant does not have an excused absence, this is their only option for taking a retest. ASCP will notify the laboratory of three available make-up test or retest date options.
5. BOX E—If the laboratory plans to schedule a second on-site ASCP GYN PT™ exam for multiple participants with excused absences to take the make-up test, make a checkmark in this box. ASCP will notify the laboratory of three available on-site PT exam dates.
6. BOX F—If the participant has an excused absence and works at another facility where the make-up test will be taken make a checkmark in this box.
7. BOX G—Record the name and address of the laboratory facility where the participant will be taking the make-up test in this box. An Adding New Participants form will need to be completed and signed by the Laboratory Director of the make-up test laboratory location. Please fax the **Adding New Participants form** to ASCP@ 317.569.0221 as soon as possible.

The following participants did not take the ASCP on-site proficiency test on the schedule date:

Signature of Laboratory Director

Date

CLEANING TEST SLIDES

PURPOSE: All Proficiency Test participants who perform primary screening must review slides without dots. When PT Slide Boxes are shared among multiple cytotechnologists or pathologists who perform primary screening, the slides must have all ink dots removed prior to assignment and review by the next participant to ensure that no participant benefits from residual ink dots. Slides must also be cleaned and ink dots removed prior to returning to ASCP.

MATERIALS:

1. Coplin jar of 100% alcohol
2. Gauze squares
3. Paper towels
4. Microscope with 4X objective

PROCEDURE:

1. Dip each slide into a Coplin jar of 100% alcohol, being careful not to immerse the label into the alcohol solution.
2. Lay the slide on a surface covered with paper towels. Carefully wipe the slide with gauze squares until all evidence of dots and fingerprints is removed.
3. Microscopically review each slide under a 4X objective to ensure all dots have been removed. This is the only way to precisely assess that no residual ink dots remain.
4. Return all slides to the Styrofoam slide holder inside the test slide box in their original order.
5. Assign the cleaned test slide box to the next participant, or place the cleaned box in the shipping container to return to ASCP.

HANDLING BROKEN TEST SLIDES

PURPOSE: This procedure describes what a proctor should do if a proficiency test slide is broken before, during, or after it has been examined. It assures a satisfactory testing event. In the event of broken slides, the proctor will need to determine whether an adequate number of Test Slide Boxes is available to test all participants, and assign equivalent replacement test slide boxes for participants to use in place of the broken slides.

SLIDES BROKEN DURING SHIPMENT:

1. If a proficiency test (PT) slide is broken on the label end or some other area that does not contain cells, and can be repaired with a piece of glass slide repair tape that does not interfere with microscopic interpretation, then:
 - a. The participant may continue the test.
 - b. Record the Test Slide Box and slide number of the repaired slide at the end of **Proctor Test Administration Checklist** to alert us that the damaged slide was repaired.
2. If the PT slide cannot be repaired to a functional state:
 - a. Remove the entire Test Slide Box with the broken slide from the testing process.
 - b. Replace the broken slide in the Styrofoam slide holder for return shipment. If necessary place the broken slide pieces in a small bag or container labeled with the Test Slide Box label number.
 - c. Substitute an equivalent replacement Test Slide Box with the same **ASCP GYN PT™**PREP Type label prefix for assignment to the test participants, following the test administration protocol.
 - d. If a substitute Test Slide Box with the same **ASCP GYN PT™** PREP Type label prefix is not available, call ASCP @ 800.267.2727 for suggested courses of action.
3. Please complete the "Broken Slides" section at the end of the **Proctor Test Administration Checklist**.
4. The laboratory will not be charged a fee for a slide broken through no fault of its own.

SLIDES BROKEN AT THE TESTING SITE BEFORE TEST SLIDE BOXES ASSIGNED:

1. Follow all applicable procedures listed above under “Slides Broken During Shipment”.
2. The laboratory will be charged \$200 per broken slide.

SLIDES BROKEN AT THE TESTING SITE AFTER TEST SLIDE BOXES ASSIGNED:

1. If a PT slide is broken beyond repair during the test but it has not yet been examined:
 - a. Remove the entire Test Slide Box with the broken slide from the testing process.
 - b. Assign the participant with the broken slide an equivalent replacement Test Slide Box. Follow the procedures listed above under “Slides Broken During Shipment”.
2. If a slide is broken in a Test Slide Box assigned to a pathologist participant (MD or DO), an equivalent Test Slide Box with the same **ASCP GYN PT™ TEST** Type label prefix must be assigned that has been prescreened and dotted by a cytotechnologist.
 - a. Write the new Test Slide Box number on the **Proficiency Testing Result Form** and on the **ASCP GYN PT™ Participant Testing Log**.
 - b. Record the new start time on the **Proficiency Testing Result Form**.
 - c. Complete the “Broken Slides” section at the end of the **Proctor Test Administration Checklist**.
 - d. The laboratory will be charged \$200 per broken slide.
3. If a PT slide is broken beyond repair during the test, and it has already been examined by the participant:
 - a. Instruct the participant to continue with the test.
 - b. After the participant has completed the test, remove the entire Test Slide Box with the broken slide from the testing process.
 - c. Replace the broken slide in the Styrofoam slide holder for return shipment. If necessary place the broken slide pieces in a small bag or container labeled with the Test Slide Box label number.
 - d. Complete the “Broken Slides” section at the end of the **Proctor Test Administration Checklist**.
 - e. The laboratory will be charged \$200 per broken slide.

SLIDES BROKEN AT THE TESTING SITE AFTER TESTING COMPLETED, BUT PRIOR TO RETURN

1. Replace the broken slide in the Styrofoam slide holder for return shipment. If necessary place the broken slide pieces in a small bag or container labeled with the Test Slide Box label number.
2. Complete the “Broken Slides” section at the end of the **Proctor Test Administration Checklist**.
3. The laboratory will be charged \$200 per broken slide.



Site Number (if desired):

On-site PT exam date: / /

Fill in the box that corresponds to your degree of agreement with the statement, with 1 being strongly agree to 5 being strongly disagree.

Process Evaluated	Strongly Agree	Scale			Strongly Disagree
1. Written ASCP Proficiency Test Instructions were clear. Comments: _____	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
2. The Proctor gave clear instructions for taking the test. Comments: _____	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
3. The criteria for the four responses categories, A, B, C, D contained on the Proficiency Testing Result Forms were clear. Comments: _____	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
4. The information contained in the PT Scoring Charts was clear. Comments: _____	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
5. The test slides were well stained and coverslipped. (if you thought a slide was poorly stained or coverslipped and would like ASCP staff to review, include Test Slide Box Number and the slide label number.) Comments: _____ Test Slide Box # <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Slide label #: <input type="text"/> <input type="text"/>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
6. The test slides contained the morphologic criteria necessary to accurately categorize the response category as A, B, C, or D. Comments: _____	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5